PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

(\$)

**TOTAL AMOUNT OF PAYMENT** 

Complete if Known				
Application Number				
Filing Date	herewith			
First Named Inventor	Kasichainula			
Examiner Name	S. Lao			
Group Art Unit	2755			
Attorney Docket No.	CR9-97-092-US2			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overnavments to	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to:  Deposit	Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description	Fee Paid			
Account Number 09/0461	Code (\$) Code (\$)	reeralu			
Deposit	105 130 205 65 Surcharge - late filing fee or oath				
Account Name	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification				
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination				
See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
2. Payment Enclosed:  Check Credit card Money Other  Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
FEE CALCULATION	115 110 215 55 Extension for reply within first month				
	116 390 216 195 Extension for reply within second month				
1. BASIC FILING FEE	117 890 217 445 Extension for reply within third month				
Large Entity Small Entity Fee Fee Fee Fee Description	118 1,390 218 695 Extension for reply within fourth month				
Code (\$) Code (\$) Fee Paid	128 1,890 228 945 Extension for reply within fifth month				
101 710 201 355 Utility filing fee 710.00	119 310 219 155 Notice of Appeal				
106 320 206 160 Design filing fee	120 310 220 155 Filing a brief in support of an appeal				
107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing				
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding				
	140 110 240 55 Petition to revive - unavoidable				
SUBTOTAL (1) (\$)710.00	141 1,240 241 620 Petition to revive - unintentional				
2. EXTRA CLAIM FEES	142 1,240 242 620 Utility issue fee (or reissue)				
Fee from Extra Claims below Fee Paid	143 440 243 220 Design issue fee				
Total Claims 12 -20** = 0 x = 0	144 600 244 300 Plant issue fee				
Independent Claims 3 - 3** = 0 x = 0	122 130 122 130 Petitions to the Commissioner				
Multiple Dependent = 0	123 50 123 50 Petitions related to provisional applications				
•	126 240 126 240 Submission of Information Disclosure Stmt				
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)				
103 18 203 9 Claims in excess of 20	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
102 80 202 40 Independent claims in excess of 3	149 710 249 355 For each additional invention to be				
104 270 204 135 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b))				
109 80 209 40 ** Reissue independent claims over original patent	179 710 279 355 Request for Continued Examination (RCE)				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 0	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0				
SUBMITTED BY	Complete (if applicable)				

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Jeanine S. Ray-Yarletts	Registration No. (Attorney/Agent)	39,808	Telephone	919-543-2541
Signature	Chamero Ma- holo	HP		Date -	Oct. 20, 2000

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Q

Date Oct. 20, 2000

PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **UTILITY** PATENT APPLICATION **TRANSMITTAL**

CR9-97-092-US2 Attorney Docket No. First Inventor Kasichainula Method & Apparatus for Remotely.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. EK873466515US

APPLICATION ELEMENTS			Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application			
See MPEP chapter 600 concerning utility patent application contents.		ents.	Washington, DC 20231			
See MPEP chapter 600 concerning utility patent application contents.  1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  Applicant claims small entity status. See 37 CFR 1.27.  Specification [Total Pages 42] (preferred arrangement set forth below)  - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention			<ul> <li>7.  □ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li> <li>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> <li>a. □ Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on: <ol> <li>i. □ CD-ROM or CD-R (2 copies); or</li> <li>ii. □ paper</li> <li>c. □ Statements verifying identity of above copies</li> </ol> </li> </ul>			
<ul> <li>Brief Description</li> </ul>	n of the Drawings (if filed)		ACCOMPANYING APPLICATION PARTS			
<ul> <li>Detailed Description</li> <li>Claim(s)</li> <li>Abstract of the Disclosure</li> </ul>			9. Assignment Papers (cover sheet & document(s))  37 CFR 3.73(b) Statement Power of Attorney			
4. X Drawing(s) (35 U	I.S.C. 113) [Total Sheets 7		11. English Translation Document (if applicable)			
5. Oath or Declaration	[Total Pages 2]		12. X Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations			
a. Newly executed (original or copy)			13. X Preliminary Amendment			
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed)		d)	14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  Other:			
6. Application Data	Sheet. See 37 CFR 1.76		······································			
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.: 08 , 931,979  Prior application information: Examiner S. Lao Group I Art Unit: 2755  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
18. CORRESPONDENCE ADDRESS						
Customer Number or Bar Code Label  [Insert Customer ND) or Attachiba, code label transport  [Insert Customer ND) or Attachiba, code label transport  [Insert Customer ND) or Attachiba, code label transport  [Insert Customer ND] or Attachiba, code label transport  [Insert Customer						
Name	Jeanine S. Ray-Yarl					
	IBM Corp., Dept. T81/Bldg. 503-3					
Address	P.O. Box 12195					
City	Research Triangle Pa	rk Sta				
Country	U.S.A.	Telepho	one 919-543-2541   Fax 919-254-433			
Name (Print/Type)	Jeanine S. Ray-Yarl	etts	Registration No. (Attorney/Agent) 39,808			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Box Patent Application, Washington, DC 20231.





# Docket CR9-97-092-US2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kasichainula et al

Serial No.:

Filed:

Herewith

For: Method and Apparatus for Remotely Running Objects Using Data Streams

and/or Complex Parameters

Assistant Commissioner of Patents Washington, D.C. 20231

### **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number: EK873466515US

Date of Deposit: October 20, 2000

### WE REQUEST THE DATE OF DEPOSIT AS THE DATE FILED.

I hereby certify that the following attached correspondence comprising:

- 1. Utility Patent Application Transmittal for Divisional Application, in duplicate
- 2. Application, 42 pages
- 3. Drawings, 7 sheets
- 4. Oath and Declaration, copy as filed in parent application
- 5. Information Disclosure Statement, USPTO Form 1449 and one Reference
- 6. Preliminary Amendment
- 7. Business Reply Post Card

is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under CFR 1.10 on the date indicated above and is addressed to:

Box Patent Application
Assistant Commissioner of Patents
Washington, D.C. 20231

Dianne Lane

(Name of person mailing paper or fee)

Signature of person mailing paper or fee)

